

# MARINE SAFETY and SECURITY SÉCURITÉ et SÛRETÉ MARITIMES

## Delegated Statutory Inspection Program (DSIP)



Transport  
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## Delegated Statutory Inspection Program (DSIP)

- ✓ The DSIP authorizes third-party organizations known as “recognized organizations”(ROs) to conduct inspections under the authority of Transport Canada (TC).
- ✓ DSIP became mandatory for all vessels (classed and non-classed) 24 metres and above in length on January 1st, 2014.
- ✓ DSIP allows Transport Canada Marine Safety & Security (TCMSS) to focus on the safety and environmental performance of vessels through risk-based inspections and monitoring.
- ✓ Once delegated, the RO provides inspection and certification services.
- ✓ In special cases where a vessel is exempted from DSIP, TCMSS remains the provider of inspection and certification services.



## Oversight Activities – Enrollments and Exemptions

<b>Enrollment in DSIP (since it became mandatory in 2014)</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018*</b>	<b>Total</b>	<b>Grand Total</b>
Number of Vessels	90	86	87	49	74	386	655
<b>Exempted from DSIP</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018*</b>	<b>Total</b>	<b>Grand Total</b>
Undue Hardship (financial)	n/a	9	14	2	4	29	100
Unable to Receive Service from ROs	n/a	34	30	4	3	71	

\*As of the end of Q3 2018/19



## Oversight Activities – Audits

While Recognized Organizations (RO) carry out activities on behalf of the Minister of Transport, TC maintains responsibility to oversee the performance of third parties in a variety of ways, including oversight visits to RO offices as well as monitoring inspections on board delegated vessels.

TC conducts oversight of its ROs, as per the RO Code (an international standard that requires flag States to conduct oversight of ROs):

- to provide assurance that their systems and processes meet the requirements as listed in the Authorization Agreements
- to ensure that Canadian regulatory requirements are followed
- to ensure they are following TC instructions and directives/policies

These audits also provide the opportunity for TC to review its own processes for quality improvement.

RO Audits Conducted 2018-19	7
RO Audits Planned 2019/20	6



## Oversight Activities – Risk-Based Vessel Monitoring

In addition to conducting audits of the ROs, TC also conducts risk-based inspections of the delegated vessels

A Risk Matrix was created as a tool to help Marine Safety and Security use a risk-based approach to inspection planning within the Delegated Statutory Inspection Program (DSIP).

The Risk Matrix was developed based on the criteria contained within the Port State Control model, Paris Memorandum of Understanding (MOU) Ship Risk Profile, Tokyo MOU and the United States Coast Guard (USCG) targeted compliance matrix.

This Risk Matrix is used as the basis for providing the regions with a proposed list of vessels to be monitored throughout the fiscal year in addition to responding to unplanned inspections following inquiries or incidents.

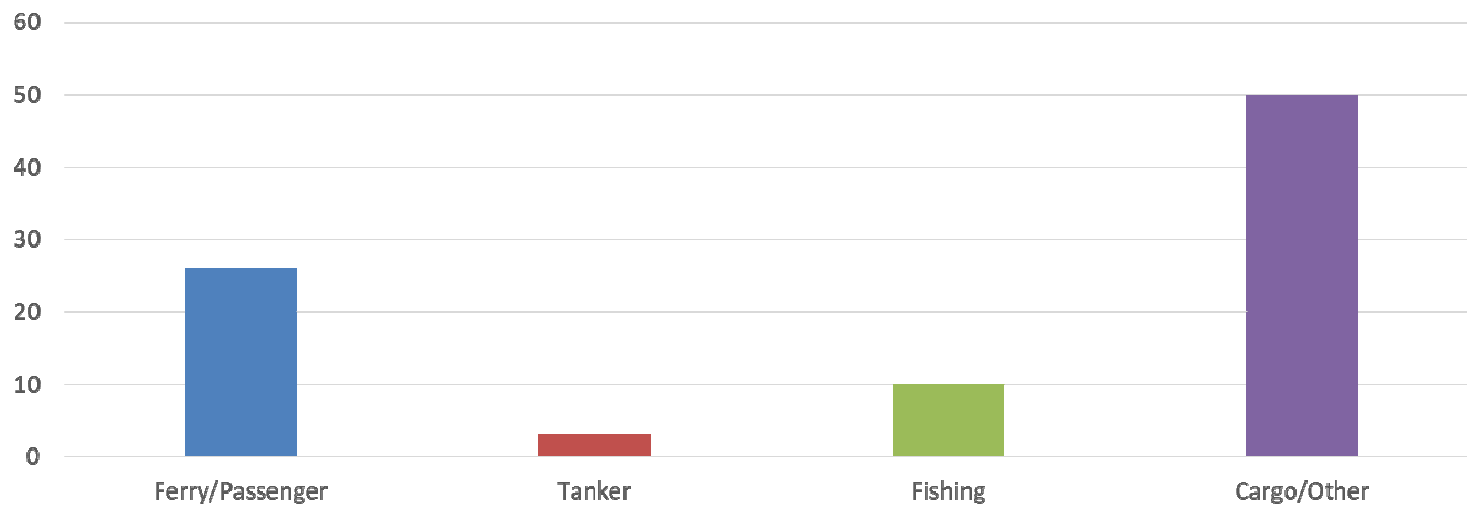
The risk matrix contains information on each of the vessels enrolled in DSIP. The matrix evaluates each vessel based on 15 pre-determined criteria and provides an overall risk score (high, medium or low) for the vessel.



## Oversight Activities – Risk based Monitoring Inspections

✓ In 2018-19\* Transport Canada conducted 89 vessels monitoring inspections nationally

Types of Vessel Monitored 2018/19\*



\*As of the end of Q3 2018/19



# Questions?

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